

Penallta House,
Tredomen Park,
Ystrad Mynach,
Hengoed CF82 7PG

Ty Penallta,
Parc Tredomen,
Ystrad Mynach,
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For all enquiries relating to this agenda please contact Kim Houghton
(Tel: 01443 864267 Email: houghk@caerphilly.gov.uk)

Date: 18th July 2018

Dear Sir/Madam,

A meeting of the **Audit Committee** will be held in **Sirhowy Room, Penallta House, Tredomen, Ystrad Mynach** on **Tuesday, 24th July, 2018** at **2.00 pm** to consider the matters contained in the following agenda. Councillors and the public wishing to speak on any item can do so by making a request to the Chair. You are also welcome to use Welsh at the meeting, both these requests require a minimum notice period of 3 working days, and a simultaneous translation will be provided if requested.

All Committee meetings are open to the Press and Public, observers and participants are asked to conduct themselves with respect and consideration for others. Please note that failure to do so will result in you being asked to leave the meetings and you may be escorted from the premises.

Yours faithfully,

A handwritten signature in black ink, appearing to read 'Chrissy'.

Christina Harrhy
INTERIM CHIEF EXECUTIVE

AGENDA

- | | Pages | |
|---|---|--|
| 1 | To Appoint a Chair for the Ensuing Year. | |
| 2 | To Appoint a Vice-Chair for the Ensuing Year. | |

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3 To receive apologies for absence.

4 Declarations of Interest.

Councillors and Officers are reminded of their personal responsibility to declare any personal and/or prejudicial interest(s) in respect of any item of business on this agenda in accordance with the Local Government Act 2000, the Council's Constitution and the Code of Conduct for both Councillors and Officers.

To approve and sign the following minutes: -

5 Audit Committee held on 10th April 2018. 1 - 6

To receive and consider the following reports: -

6 Procurement Update (Presentation).

7 Regulator Proposals for Improvement Progress Update. 7 - 14

8 Local Government Financial Statements and the Role of the External Auditor (Presentation).

9 Audit Committee Assurance Over Management Processes and Arrangements. 15 - 18

10 Financial Statements for 2017/18. 19 - 184

11 Audit Committee Forward Work Programme. 185 - 188

To receive and note the following information items:-

12 Annual Review of Complaints Received Under The Council's Corporate Complaints Policy - 1st April 2017 - 31st March 2018. 189 - 208

13 Certificate of Caerphilly County Borough Council's 2018 - 2019 Improvement Plan. 209 - 214

14 Regulation of Investigatory Powers Act 2000. 215 - 216

15 Officers' Declarations of Gifts and Hospitality January to March 2018. 217 - 220

16 Register of Employees' Interests Forms 2017/18. 221 - 228

17 Corporate Governance Panel Minutes - 9 October 2017.

229 - 232

18 Corporate Governance Panel Minutes - 19 February 2018.

233 - 236

**If a member of the Audit Committee wishes for any of the above information reports to be brought forward for discussion at the meeting please contact Kim Houghton, 01443 864267, by 10.00 a.m. on Monday 23rd July 2018*

Circulation:

Councillors Mrs E.M. Aldworth, J. Bevan, D.T. Davies, C. Elsbury, A. Gair, Ms J. Gale, D.T. Hardacre, B. Miles, Mrs T. Parry, Mrs M.E. Sargent, G. Simmonds and J. Simmonds

Lay Member – Mr N.D. Yates

Auditors –Ms N. Jenkins (Wales Audit Office), Ms G. Hawkins (Grant Thornton UK LLP) and Mr B. Morris (Grant Thornton UK LLP)

And Appropriate Officers.